

# Commercial investment application Requirements



1. Completed Commercial investment application
  - Estate and Formal Trust accounts: Completed Commercial investment application – Estate/Formal Trust
2. Funding cheque made payable to Oaken Financial (in account holder's name)
3. One piece of identification for each authorized signatory (**walk-in only**)
  - See complete list of acceptable identification at [oaken.com](http://oaken.com)
4. **Power to Bind (Signing Authority)**, please provide one of the following:
  - ☐ Certificate of Incumbency, or
  - ☐ Banking Resolution, or
  - ☐ Board Minutes, or
  - ☐ Articles of Association, or
  - ☐ By-laws, or
  - ☐ Notarized Copy of Will (Estate), or
  - ☐ Deed of Trust (Formal Trust)
5. **Company documents required based on entity type (Estate and Formal Trusts excluded)**, please provide at least one of the following which has been issued within the past 18 months:
 

Incorporated Entity	Unincorporated Entities
<p>(including Charities/Not for Profit)</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Charity Registration (if applicable)</li> <li><input type="checkbox"/> Articles of Incorporation, or Amalgamation, or</li> <li><input type="checkbox"/> Articles of Continuance, or Amendment, or</li> <li><input type="checkbox"/> Certificate of Good Standing, or Corporate Status, or</li> <li><input type="checkbox"/> Certificate of Fact, or Existence, or Attestation, or</li> <li><input type="checkbox"/> Corporate Search, or Letters of Patent, or Company Charter, or</li> <li><input type="checkbox"/> Certificate of Corporate Status, or</li> <li><input type="checkbox"/> Published Annual Report (last fiscal year) signed by an independent audit firm – not a Business for Self Accountant, or</li> <li><input type="checkbox"/> Corporation's most recent Notice of Assessment or Tax Bill from a municipal, provincial, territorial or federal government</li> </ul>	<p>(including associations, Charities/Not for Profit, Churches, Lodges)</p> <p>If formally established:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Copy of establishing Documentation (Constitution, By-laws or resolutions)</li> <li><input type="checkbox"/> If registered under the Trades Union Act of Canada: Copy of the rules of the union registered with the Registrar General of Canada (Industry Canada). Document will outline who has the power to bind the association</li> </ul> <p>If not formally established:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> A letter from the organization, which must include the name of individual(s) that have authority to bind the entity</li> </ul>
<p><b>Partnership – General or Limited</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Application for Registration of a Partnership/Limited Partnership, or</li> <li><input type="checkbox"/> Certificate of General/Limited Partnership, or</li> <li><input type="checkbox"/> Business Name Registration, or</li> <li><input type="checkbox"/> Partnership Resolution, or</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Partnership Resolution, or</li> <li><input type="checkbox"/> Partnership/Limited Partnership Declaration (with copy of filing, or Notarized copy of Partnership Agreement (a copy will suffice) – where provincial law does not require registration</li> </ul>
<p><b>Condominium/Strata Corporation (not time sensitive)</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Copy of Declaration registered at Land Titles Office (need portion of the document that shows the condominium/strata corporation name, number and confirmation of the registration)</li> </ul>	<p><b>Sole Proprietorship</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Trade name registration, or</li> <li><input type="checkbox"/> Master Business Licence, or</li> <li><input type="checkbox"/> Notice of Assessment, or</li> <li><input type="checkbox"/> Current Liquor Licence, or</li> <li><input type="checkbox"/> Current health or safety certificates, or</li> <li><input type="checkbox"/> Current Business Licence or vendor's permit</li> </ul>

If you have any questions, please contact us at 1-855-OAKEN-22 (625-3622). We're also available online at [oaken.com](http://oaken.com) or by emailing [service@oaken.com](mailto:service@oaken.com)